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PTO/SB/21 (08-03)

TRAN	0		Applic	ation Number	09/723,7	13
	SMITTAL		Filing	Date	November 27, 2000	
F-(	ORM		First N	lamed Inventor	Schenk,	Dale B.
(to be used for all corre	espondence after in	nitial filing)	Art Un	nit	1632	
			Exami	iner Name	Anne Ma	rie Sabrina Wehbe
Total Number of Pages	in This Submission	n 11	Attorn	ey Docket Number	15270J-0	004741US
		ENCI	OSURE	S (Check all that apply	()	
Fee Transmittal Fo	rm	☐ Drawin	g(s)		After	Allowance Communication to Group
Fee Attached		Licensi	ng-relate	ed Papers		al Communication to Board of Appeals nterferences
Amendment/Reply		Petition	1			al Communication to Group (Appeal e, Brief, Reply Brief)
After Final			to Conv		Propr	rietary Information
Affidavits/dec	laration(s)			ey, Revocation espondence Address	Statu	s Letter
Extension of Time	Request	☐ Termin	al Discla	imer		r Enclosure(s) e identify below):
Express Abandonment Request			Request for Refund  CD, Number of CD(s)		Cited references 407-442*; and, Return Postcard	
Information Disclos (5 pp) w/attached 8A (1 pp.) &						
Certified Copy of Pr Document(s)		Remai	rks	The Commissioner is a Account 20-1430.	authorized to	o charge any additional fees to Deposit
Response to Missir Incomplete Applica Response to I under 37 CFF	* not includ	ed in "To	J otal Number of Pages in t	his Submiss	sion"	
				ICANT, ATTORNEY,	OR AGEN	IT
or	Townsend and T		nd Crev		.=	
Individual	Rosemarie L Ce			<del></del>	o. 42,397 	
Signature Hammie L. Celli						
Date April 12, 2004						
		С	ERTIFI	CATE OF MAILING		
Express Mail Label: ÉV I hereby certify that this Address" service under Commissioner for Pater	correspondence is 37 CFR 1.10 on th	is date Ron	Anton a	nd is addressed to:	ıl Service wi	th "Express Mail Post Office to
Typed or printed name	Ron Anton					
Signature Pm 4-7					Date	April 12, 2004

**FEE TRANSMITTAL** Complete if Known Application Number 09/723,713 WE TEADER for FY 2004 November 27, 2000 Filing Date Effective 10/01/2003. Patent fees are subject to annual revision. Schenk, Dale B. First Named Inventor Applicant claims small entity status. See 37 CFR 1.27 Anne Marie Sabrina Wehbe Examiner Name 1632 Art Unit

TOTAL AMOUNT OF PAYMENT (\$)

METHOD OF PAYMENT (check all that apply)				FEE CA	ALCULATION (continued)	
Check Credit Card Money Order Other None	3. ADD	ITIONAL I	FEES			
Deposit Account:	Large	Entity	Small	Entity		
Deposit	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Account Number	1051	130	2051	65	Surcharge - late filing fee or oath	
Deposit	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Account Townsend and Townsend and Crew LLP	1053	130	1053	130	Non-English specification	
Name	1812	2,520	1812	2,520	For filing a request for reexamination	
The Director is authorized to: (check all that apply)	1804	920*	1804	920*	Requesting publication of SIR prior to	
Charge fee(s) indicated below Credit any overpayments					Examiner action	
Charge any additional fee(s) or any underpayment of fee(s)	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
Charge fee(s) indicated below, except for the filing fee	1251	110	2251	55	Extension for reply within first month	
to the above-identified deposit account.	1252	420	2252	210	Extension for reply within second month	
FEE CALCULATION	<b>⊣</b> ''-°'-	420		2.0	Exchaigh to rophy main cocons month	
1. BASIC FILING FEE	1253	950	2253	475	Extension for reply within third month	
Large Entity Small Entity	1254	1,480	2254	740	Extension for reply within fourth month	
Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	4055	0.040		4.005	Francis for solverithin 50h month	
1001 770 2001 385 Utility filing fee	1255 1401	2,010 330	2255 2401	1,005 165	Extension for reply within fifth month  Notice of Appeal	
1002 340 2002 170 Design filing fee		330	2401	165	Filing a brief in support of an appeal	
1003 530 2003 265 Plant filing fee	1402 1403	290	2402	145	Request for oral hearing	
1004 770 2004 385 Reissue filing fee	1403	290	2403	140	Petition to institute a public use	——
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	proceeding	
SUBTOTAL (1) (\$)	1452	110	2452	55	Petition to revive – unavoidable	
SUBTOTAL (I)	1453	1,330	2453	665	Petition to revive – unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,330	2501	665	Utility issue fee (or reissue)	
Fee from	1502	480	2502	240	Design issue fee	
Extra Claims below Fee Paid	1503	640	2503	320	Plant issue fee	
Total Claims =	1460	130	1460	130	Petitions to the Commissioner	
Independent =	1807	50	1807	50	Petitions related to provisional applications	
Multiple Dependent	1806	180	1806	180	Submission of Information Disclosure Stmt	180
Large Entity Small Entity	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$)	1809	770	2809	385	Filing a submission after final rejection	
1202 18 2202 9 Claims in excess of 20	1810	770	2810	385	(37 CFR § 1.129(a)) For each additional invention to be	$\vdash$
1201 86 2201 43 Independent claims in excess of 3	1 1010	770	2010	303	examined (37 CFR § 1.129(b))	
1203 290 2203 145 Multiple dependent claim, if not paid 1204 86 2204 43 ** Reissue independent claims	1801	770	2801	385	Request for Continued Examination (RCE)	
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**or number previously paid, if greater; For Reissues, see above	*Reduce	ed by Basic	c Filing I	Fee Paid	SUBTOTAL (3) (\$)180	

Attorney Docket No.

15270J-004741US

SUBMITTED BY				Co	omplete (if applicable)	
Name (Print/Type)	Rosemarie L. Celli	Registration No. (Attorney/Agent)	42,397	Telephone	650-326-2400	
Signature	Possenie		Date	April 12, 2004		

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Attorney Docket No.: 15270J-004741US

## Client Reference No.: 209-US-CIP5C1

## THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Dale B. Schenk

Application No.: 09/723,713

Filed: November 27, 2000

For: PREVENTION AND TREATMENT

OF AMYLOIDOGENIC DISEASE

Examiner: Anne Marie Sabrina Wehbe

Art Unit: 1632

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on the attached PTO/SB/08A and PTO/SB/08B forms are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Applicant also cites commonly owned copending applications directed to related subject matter:

> 09/201,430 filed 11/30/98 issue fee paid on 10/07/03; 09/497,553 filed 02/03/00;

> 09/724,477 filed 11/28/00 issue fee paid on 10/07/03;

09/723,927 filed 11/28/00 issue fee paid on 10/07/03;

09/723,762 filed 11/28/00 issue fee paid on 10/07/03;

09/724,102 filed 11/28/00 issue fee paid on 10/07/03;

09/724,489 filed 11/28/00 issue fee paid on 10/07/03;

15270J-004727US filed 03/31/04;

04/14/2004 SSESHE1 00000111 201430

Dale B. Schenk

Application No.: 09/723,713

Page 2

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15270J-004728US filed 03/31/04;
15270J-004729US filed 03/31/04;
15270J-004733US filed 03/31/04;
15270J-004736US filed 03/31/04;
15270J-004738US filed 03/31/04;
09/322,289 filed 05/28/99;
09/723,713 filed 11/27/00;
09/723,760 filed 11/27/00;
09/724,319 filed 11/27/00;
09/723,384 filed 11/27/00 issued as U.S. 6,710,226 on 03/23/04;
09/724,495 filed 11/27/00;
10/788,666 filed 02/27/04;
10/429,216 filed 05/30/03;
09/580,015 filed 05/26/00;
09/724,940 filed 11/28/00;
09/724,961 filed 11/28/00 issue fee paid on 02/26/04;
09/580,018 filed 05/26/00 issue fee paid on 02/26/04;
09/724,552 filed 11/28/00 issue fee paid on 02/26/04;
09/723,544 filed 11/28/00;
09/724,273 filed 11/28/00;
09/724,551 filed 11/28/00; issue fee paid on 02/26/04;
09/724,288 filed 11/28/00;
10/777,792 filed 02/11/04;
15270J-004767US filed 04/12/04;
09/580,019 filed 05/26/00;
09/723,765 filed 11/28/00;
09/724,291 filed 11/28/00;
09/724,929 filed 11/28/00;
09/585,817 filed 06/01/00;
09/724,567 filed 11/28/00 notice of allowance mailed 03/02/04;
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**PATENT** 

Dale B., Schenk

Application No.: 09/723,713

Page 3

and,

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09/724,575 filed 11/28/00;

09/724,953 filed 11/28/00 notice of allowance mailed 03/11/04;

09/724,570 filed 11/28/00;

09/585,656 filed 06/01/00;

09/723,766 filed 11/27/00;

09/723,725 filed 11/27/00;

09/579,690 filed 05/26/00;

09/979,701 filed 03/13/01 (U.S. Nat'l Stage of PCT/US00/14810 filed 05/26/00);

09/979,952 filed 04/04/02 (U.S. Nat'l Stage of PCT/US00/15239 filed 06/01/00);
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09/980,568 filed 03/12/02 (U.S. Nat'l Stage of PCT/US00/15302 filed 06/01/00).

Applicant also cites the following copending applications directed to related subject matter but subject to different assignment:

```
10/010,942 filed 12/06/01;

10/789,273 filed 2/27/04;

10/232,030 filed 08/30/02;

10/388,389 filed 03/12/03

10/703,713 filed November 7, 2003

10/704,070 filed November 7, 2003

10/388,214 filed 03/12/03;

60/474,654 filed 05/30/03;

60/530,481 filed 12/17/03; and,

10/771,174 filed 02/04/04.
```

Applicant further cites the following commonly owned abandoned applications directed to related subject matter:

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60/067,740 filed 12/02/97;
60/080,970 filed 04/07/98;
60/067,219 filed 12/03/97;
60/079,697 filed 03/27/98;
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Dale B. Schenk

Application No.: 09/723,713

Page 4

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09/204,838 filed 12/03/98;
09/724,921 filed 11/28/00;
60/137,010 filed 06/01/99;
60/251,892 filed 12/6/00;
60/137,047 filed 06/01/99; and,
60/136,655 filed 05/28/99.
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Applicant also cites the following abandoned application directed to related subject matter but subject to different assignment:

```
60/251,892 filed 12/06/00;
60/444,150 filed 02/01/03; and,
60/363,751 filed 03/12/02.
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Applicant points out that the following applications are now commonly assigned but were previously subject to different assignment than the present application:

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60/067,219 filed 12/03/97;
60/079,697 filed 03/27/98;
09/204,838 filed 12/03/98;
09/724,921 filed 11/28/00; and,
09/724,929 filed 11/28/00.
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As provided for by 37 CFR 1.97(g) and (h), no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information, and no inference should be made that the information and references cited are, or are considered to be material to patentability because they are in this statement. No inference should be made that the information and references cited are prior art merely because they are in this statement.

This IDS is being filed before the mailing date of the final Office Action or Notice of Allowance.

Dale B. Schenk

Application No.: 09/723,713

Page 5

Please charge the IDS fee of \$180 to Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Rdsemarie L. Celli Reg. No. 42,397

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834

Tel: 650-326-2400 Fax: 650-326-2422

RLC:crf

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Substitute for form 1449A/PTO Complete if Known 09/723,713 **Application Number** INFORMATION DISCLOSURE Filing Date November 27, 2000 STATEMENT BY APPLICANT First Named Inventor Schenk, Dale B. Art Unit 1632 (use as many sheets as necessary) **Examiner Name** Anne Marie Sabrina Wehbe 3 Sheet 1 15270J-004741US **Attorney Docket Number** 

			U.S. PATENT DO	CUMENTS+	
Examiner Initials*	Cite No.1	Document Number  Number Kind Code <sup>2</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Cclumns, Lines, Where Relevant Passages or Relevant Figures Appear
	442	6,713,450 B2	03-30-2004	Frangione et al.	
	415	2003/0166558 A1	09-04-2003	Frangione et al.	
	431	2003/0165496 A1	09-04-2003	Basi et al.	
	432	6,562,341 B2	05-13-2003	Prusiner et al.	
	440	2003/0068316 A1	04-10-2003	Klein et al.	
	416	6,303,567 B1	10-16-2001	Findeis et al.	
	417	5,985,242	11-16-1999	Findeis et al.	
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	FOREIGN PATENT DOCUMENTS									
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Examiner Initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup>	Number⁴ ki	nd Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Applicant of Cited  Document	Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>		
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Examiner Signature	Date Considered		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). Kind Codes of U.S. Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Stind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

## Substitute for form 1449B/PTO Substitute for form 1449B/PTO Substitute for form 1449B/PTO Substitute for form 1449B/PTO ATEMENT BY APPLICANT

(use as many sheets as necessary)						
Sheet	2	of	3			

Complete if Known						
Application Number	09/723,713					
Filing Date	November 27, 2000					
First Named Inventor	Schenk, Dale B.					
Art Unit	1632					
Examiner Name	Anne Marie Sabrina Wehbe					
Attorney Docket Number	15270J-004741US					

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2
	418	BORK, P., "Powers and Pitfalls in Sequence Analysis: The 70% Hurdle," <u>Genome Research</u> , 10:398-400 (2000)	
	419	BORK et al., "Go hunting in sequence databases but watch out for the traps," <u>Trends in Genetics</u> , 12(10):425-427 (1996).	
	420	BRENNER, S. E., "Errors in genome annotation," <u>Trends in Genetics</u> , 15(4):132-133 (1999).	
	421	CASTILLO et al., "Amylin / Islet Amyloid Polypeptide: Biochemistry, Physiology, Patho-Physiology," <u>Diabete &amp; Metabolisme (Paris)</u> , 21:3-25 (1995).	
	412	DAS et al., "Amyloid-β Immunization Effectively Reduces Amyloid Deposition in FcRγ Knock-Out-Mice," J. Neuroscience, 23(24):8532-8538 (2003).	
	436	DICKSON et al., "Neuroimmunology of Alzheimer's disease: a conference report," Neurobiology of Aging, 13(6):793-798 (1992), abstract only	
	422	DOERKS et al., "Protein annotation: detective work for function prediction," <u>Trends in Genetics</u> , 14(6):248-250 (1998).	
	407	ECK et al., Goodman and Gilman's The pharmacological basis of therapeutics, Chapter 5, pages 77-101 (1996)	
	423	FONSECA et al., "The Presence of Isoaspartic Acid in β-Amyloid Plaques Indicates Plaque Age," <u>Experimental Neurology</u> , 157(2):277-288 (1999).	·
	424	GOLDSBY et al., "Vaccines," Chapter 18 from <i>Immunology, 4th Edition</i> , W.H. Freeman and Company, New York, pages 449-465.	
	413	HOLTZMAN et al., "Aβ immunization and anti-Aβ antibodies: potential therapies for the prevention and treatment of Alzheimer's disease," <u>Advanced Drug Delivery Reviews</u> , 54:1603-1613 (2002).	
	434	KELLY, J. W., "Alternative conformations of amyloidogenic proteins govern their behavior," <u>Current Opinion in Structural Biology</u> , 6:11-17 (1996).	
	408	MARSHALL, E., "Gene Therapy's Growing Pains," Science, 269:1050-1055 (1995).	
	439	NALBANTOGLU, J., "Beta-amyloid protein in Alzheimer's disease," <u>Can. J. Neurol. Sci.,</u> 18(3 suppl.):424-427 (1991), abstract only	

Examiner	 Date	
Signature	Considered	J

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Complete if Known

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ute for form 1449B/PTO

INFORMATION DISCLOSURE				Application Number	09/723,713
				Filing Date	November 27, 2000
STATEMENT BY APPLICANT			PLICANI	First Named Inventor	Schenk, Dale B.
				Art Unit	1632
(use as many sheets as necessary)			necessary)	Examiner Name	Anne Marie Sabrina Wehbe
Sheet	3	of	3	Attorney Docket Number	15270J-004741US

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2
	425	NGO et al., "Computational Complexity, Protein Structure Prediction, and the Levinthal Paradox," pages 492-495 from Chapter 14 of <i>The Protein Folding Problem and Tertiary Structure Prediction</i> , Merz et al., eds., Birkhauser Boston (1994).	i
	409	ORKIN et al., Report and Recommendations of the Panel to Assess the NIH Investment in Research on Gene Therapy, December 7, 1995	
	437	PERSSON et al., "IgG subclass-associated affinity differences of specific antibodies in humans," <u>J. Immunology</u> , 140(11):3875-3879 (1988), abstract only	
	414	SCHENK, D., "Amyloid-β immunotherapy for Alzheimer's disease: the end of the beginning," <u>Nature Reviews</u> , 3:824-828 (2002).	
	426	SINGH, K. S., "Neuroautoimmunity: Pathogenic Implications for Alzheimer's Disease," <u>Gerontology</u> , 43:79-94 (1997).	
	438	SINGH, V. K., "Studies of neuroimmune markers in Alzheimer's disease," Mol. Neurobiology, 9(1-3):73-81 (1994), abstract only	
	427	SMITH et al., "The challenges of genome sequence annotation or 'The devil is in the details," Nature Biotechnology, 15:1222-1223 (1997).	
	411	SOLOMON et al., "The Amino Terminus of the β-Amyloid Peptide Contains an Essential Epitope for Maintaining Its Solubility," from <i>Progress in Alzheimer's and Parkinson's Diseases</i> , edited by Fisher et al., Plenum Press, New York, pages 205-211 (1995).	
	435	STERN et al., "Antibodies to the $\beta$ -amyloid peptide cross-react with conformational epitopes in human fibrinogen subunits from peripheral blood," <u>FEBS Letters</u> , 264(1):43-47 (1990).	
	428	VELAZQUEZ et al., "Aspartate residue 7 in amyloid β-protein is critical for classical complement pathway activation: Implications for Alzheimer's disease pathogenesis," Nature Medicine, 3(1):77-79 (1997).	
	410	VERMA et al., "Gene therapy - promises, problems and prospects," Nature, 389:239-242 (1997).	
	429	WELLS, J. A., "Additivity of Mutational Effects in Proteins," <u>Biochemistry</u> , 29(37):8509-8517 (1990).	
	430	YANG et al., "Effects of Racemization on the Aggregational Properties of the Amyloid β-Peptide in Alzheimer's Disease," abstract # 255 from American Chemical Society 214th National Meeting (1997).	

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.